

Heartland Alliance Health Oral Health Clinic

Requested Quotes for Portable Dental Equipment

Submissions Due: 1/18/20 RFQ #: 1002

ATTN: Jennifer Davis

Facility Operations Specialist Heartland Alliance Health 4750 N Sheridan Road, 2nd Floor Chicago, IL 60640

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I. INTRODUCTION

A. Introduction

Heartland Alliance Health (HAH) Oral Health Clinic (OHC) is a multi-site oral health clinic located within HAH health centers throughout Chicago, IL. HAH is designated as a federally qualified health center (FQHC) with the mission of providing healthcare, housing, food and multiple other services with the notion that our underserved populations deserve the right to access and utilize these services as part of their basic human rights.

HAH OHC is soliciting proposals and competitive sealed proposals from qualified dental equipment vendors to provide dental equipment and maintenance as outlined in this request.

B. Background

At the OHC, our dental services extend to those experiencing homelessness and those living with HIV/AIDS focusing on increasing access and utilization to future participants. We are currently investing into expanding oral health services at an additional health center site of HAH within the West Loop.

The goal of the OHC is to create a portable hybrid clinic by purchasing and utilizing portable dental equipment in a fixed location to provide dental services.

C. Contract Term / Term of Services

The term of this agreement resulting from this solicitation will be for one (1) year.

II. SCOPE OF WORK

A. Equipment

The equipment should allow the ability of a dental provider to complete oral exams, digital x-ray and intraoral photography, dental fillings, cleanings, extractions of teeth and crown preparations. Focus will be on non-fixed dental delivery systems that provide proper air/water speeds and adequate vacuum suction to complete procedures to the best of our ability within equipment that is available on the market.

The equipment list has been determined as what is required, in conjunction with existing equipment, for such functions as deemed by the Oral Health Director for HAH OHC.

Within the quote please include the vendor number, manufacturer name and vendor price for the items requested. If there are alternative equipment options comparable to these items, please include them in your proposal for our consideration.

Please refer to section IX, Attachment A, for detailed equipment & supply list

B. Account Management

- i. Vendor shall provide dedicated customer service representative to manage sales, warranty and delivery inquiries from HAH.
- ii. Customer service representative shall provide responses to inquiries within one business day for non-urgent requests.

C. Payment

- iii. Payment term is Net 30 from date of receipt
- iv. Invoices must be submitted electronically via email to the attention of Heartland Alliance Health to: HAH-CentralServices@heartlandalliance.org.
- v. Payment will be disbursed directly from Heartland Alliance Health bank via Automated Clearing House (ACH). No paper checks will be mailed.

III. Key RFQ Information

A. Introduction

Heartland Alliance will receive Quotes via email by January 18, 2021 5pm CST

Heartland Alliance Health Attn: Jennifer Davis 4750 N. Sheridan RD, 2nd FL Chicago, IL 60640 jedavis@heartlandalliance.org

Quotes submitted after the designated date and time will not be accepted.

Criteria for evaluation. In your proposals, please provide your responses to the below scope.

- 1.) References in regards to assisting other programs with implementation of portable equipment similar to this, preferably within a community health setting.
- 2.) Installation: If customer needs to provide or is included, installation cost if included.
- 3.) Technical requirements for equipment requiring electric input.
 - a. Voltage and amperage required
- 4.) Care instructions
- 5.) Maintenance plan (if any) provided by the vendor for portable equipment.
- 6.) Experience of vendor with servicing these types of items. Capability, availability of the vendor for repairs and maintenance.
- 7.) Timeline/lead time for delivery and/or installation
- 8.) Please describe an warranties & guarantees that may be included

We hope that you will be able to provide the information to the best of your ability and we kindly request that **quotes are provided by the deadline of 1/18/2021**

B. RFQ Timeline

| RFQ Issued | 12/18/2020 |
|---------------------------|------------|
| Respondents Questions Due | 1/04/2021 |
| Answers to Questions | 1/08/2021 |
| Proposals Due | 1/18/2021 |

Proposals submitted after the designated date and time will not be accepted for any reason and will be returned unopened to the originator.

C. <u>Communication, Interpretation and Addenda</u>

No respondent is entitled to rely upon any oral interpretation by Heartland Alliance or its representative concerning the meaning of this RFQ. This RFQ and any addenda will be available by contacting the designate person listed within this RFQ. It is the Respondent's obligation to obtain any addenda that may be subsequently issued.

All requests for interpretation must be made in writing and submitted no later than **January 04**, **2021 5pm CST**

Jennifer Davis Facility Operations Specialist jedavis@heartlandalliance.org

Heartland Alliance may award one or more contracts based on the evaluation criteria contained within this RFQ to responsible and responsive respondents, provided their proposals are in the best interest of Heartland Alliance

IV. EVALUATION CRITERIA

Heartland Alliance will conduct a comprehensive evaluation of proposals received in response to this RFQ. Heartland Alliance will use an Evaluation Committee to review and evaluate the proposals. Throughout the evaluation and selection process, firms may be asked to furnish additional information, make oral presentations and attend meetings as requested by Heartland Alliance. Heartland Alliance will consider such criteria as Heartland Alliance deems and to be in the best interests of Heartland Alliance including but not limited to the following:

- 1. Firm experience, knowledge and qualifications
- 2. Firm understanding and responsiveness to the requested scope of services outlined in the RFQ.
- 3. References
- 4. Fee Structure / Cost Proposal

V. <u>DIVERSITY</u>

Minority, Disadvantage, and Women-Owned Business Enterprises (MBE/DBE/WBEs) are encouraged to submit proposals. Heartland Alliance strives to provide opportunities to MBE/WBE/DBE's companies that has a diverse workforce and can supply products and services that will meet our business needs.

VI. CONFLICT OF INTEREST AND VENDOR CODE OF CONDUCT

Respondents must disclose of any past, present, or future relationships with any parties associated with the issuance, review, or management of this solicitation and anticipated award. Failure to provide this information may result in Heartland Alliance to remove respondent proposal from the selection process.

It is the responsibility of the respondent to become familiar with Heartland Alliance Vendor Code of Conduct https://www.heartlandalliance.org/contracting-opportunities/ It is also a conflict of interest when a Heartland Alliance employee, officer or agent involved in the RFQ process or contract has a financial or any other interest in the respondent. If a conflict of interest exist, the respondent cannot submit a proposal. Heartland Alliance in its sole discretion reserves the right to cancel the award if determines that any interested disclosed from any source gives the appearance of a conflict of interest.

VII. ADDITIONAL INFORMATION

- 1. All costs incurred in the preparation and presentation of Proposals is the responsibility of each respondent. All supporting documentation and manuals with each Proposal will become the property of Heartland Alliance unless otherwise indicated by the Respondent at the time of submission.
- 2. Heartland Alliance reserves the right to reject any and all Proposals, to waive any informality, and to accept any proposals which may deem to be in the best interest of the organization.
- Heartland Alliance may award one or more contracts based on the evaluation criteria contained within this RFQ to responsible and responsive respondents, provided their proposals are in the best interest of Heartland Alliance.
- 4. Issuing this solicitation is not a guarantee that Heartland Alliance will award a purchase order/contract. Heartland Alliance has no contractual obligations with any vendor based upon the issuance of this solicitation.
- 5. Heartland Alliance may choose to award a purchase order / contract for part of the services within this solicitation.

- 6. Heartland Alliance may request from a short-listed of respondents additional written responses to a more specific and detailed scope of work that is based upon the general scope of work in the original stated solicitation.
- 7. Heartland Alliance reserves the right to accept or reject any or all proposals, issue addenda, or waive any formalities that are not to be material defects requiring rejection or disqualification or where such a waiver will promote increased competition. Any respondents may be excluded from further consideration for failure to fully comply with the requirements of this solicitation.
- 8. Heartland Alliance has the right to rescind this sonication or rescind an award prior to the signing of a purchase order / contract due to any unforeseen changes in the direction of Heartland Alliance's client (U.S. Government), be it funding or programmatic.

VIII. TERMS AND CONDITIONS

By submitting a proposal in response to this RFQ, respondent agrees to accept Heartland Alliance Terms and Conditions (see Appendix 3)

IX.

ATTACHMENTS AND EXHIBITS

Attachment A: Quote Submission
To be completed by vendor

| Company Name: | |
|-----------------------|--|
| Address: | |
| Contact Person Name: | |
| Contact Phone Number: | |
| Email address: | |
| Date: | |

Instructions: Provide cost and information on items outlined below.

Equipment:

Portable X-Ray Equipment – please provide different options for each category if needed Please include vendor number, manufacturer name and vendor price within the quote

| Purchase of Dental equipment that will be used to increase Ryan White Oral Health coverage at our James West Health Center, establishing a Denture program and to provide advance services to the Ryan White population. Equipment that will be purchased includes: | QUANTITY |
|---|----------|
| IntraOral Scanner System for Crown Delivery (Comparable to Medit 500 Intraoral Scanner/Laptop Kit, needs are universal software function, compact system, and widely used and/or accepted format of files accepted by dental labs) | 1 |
| Portable Dental Delivery System (Compare to Aseptico AMC-25 Mobile Dental Cart for desired functionality) | 1 |
| Digital X-ray Sensor (Currently use Dexis Software) | 2 |
| Automatic Sterilizer (Comparable to Midmark M9 Sterilizer or something comparable, medium sized sterilizer) | 1 |
| Portable X-Ray Machine (Comparable to Nomad PRO2 or XTG Digidoc for portable) | 1 |
| Hydraulic Portable Dental Chair (Compare to Aseptico item Part # ADC-02 for functional need) | 1 |
| TOTAL EQUIPMENT | |

Supplies:

| The following includes the purchase of supplies to support the launch of oral health at HAH's James West Location in order to provide dental care on site within our mobile/portable unit; apron for patients, instruments for examination, instrument for hygiene, instruments for operations and instruments for oral surgery. Below is the detailed list: | QUANTITY |
|--|----------|
| Lead Adult Apron | 2 |
| Explorer/Perio Probe | 12 |
| Mirror Handles | 12 |
| Mouth Mirrors Box of 6 | 2 |
| X ray holder kit | 12 |
| 11/12 Gracey Currette | 4 |
| 13/14 Gracey Currette | 4 |
| 30 K Focused Spray slimLINE Insert | 5 |
| Barnhart Currette | 4 |

| Jacqueline Scaler | 4 |
|-------------------------------------|----|
| Sickle Scaler | 4 |
| Small Instrument Cassette | 4 |
| 21B Burnisher | 6 |
| 26/27S Burnisher Double End | 6 |
| Amalgam Well | 2 |
| Articulating Paper Forceps | 6 |
| Aspirating Syringe | 8 |
| Bur Block | 6 |
| Compule Tip Gun | 4 |
| Cotton Plier | 12 |
| GC Capsule Applier III | 1 |
| Jumbo Amalgam Carrier | 2 |
| Needle Recapping Device | 1 |
| Plastic Filling Instrument | 6 |
| Plugger | 6 |
| Toffelmire Retainer Universal | 6 |
| 150 A Extracting Forceps | 2 |
| 151 A Extracting Forceps | 2 |
| 86 Lucas Bone Currette Double End | 4 |
| Bone File 12 Double End Straight | 4 |
| Extracting Forceps 222 | 2 |
| Extracting Forceps 65 | 1 |
| Extracting Forceps 88L | 2 |
| Extracting Forceps 88R | 2 |
| Iris Scissors 4.5" | 3 |
| Kavo Surgitorque Handpiece | 2 |
| Lower Anterior Notched Beak | 1 |
| Lower Cowhorn Forceps | 1 |
| Lower Uniersal Notched Beaks | 1 |
| Lucxating Elevator curved tip 3 mm | 1 |
| Luxating Elevator 4.5 mm petite | 2 |
| Luxating Elevator Straight Tip 3 mm | 4 |
| Mosquito Hemostatic Forceps | 3 |
| Periosteal Elevator | 4 |
| Rongeur | 4 |
| Univ Minnesota Retractor | 4 |

| | 1 |
|--|---|
| Upper Anterior Notched Beak | 1 |
| Upper Universal Notched Beaks | 1 |
| M8900 Mastertorque Handpiece | 4 |
| Cavitron Plus Package | 1 |
| Bluephase Style 20i | 1 |
| Portable Dental LED Light | 1 |
| 181K Low Speed Air motor | 2 |
| Ultrasonic Cleaner | 1 |
| SMARTmatic S20-K Restorative Contra Angle Latch Type | 2 |
| Portable Tray Stand | 1 |
| Dr. Stool | 1 |
| Assistant Stool | 1 |
| Multiflewx LUX Coupler | 2 |
| SMARTmatic S19-K Polishing Handpiece connects to 181K | 1 |
| Fine Microbrush Plus Assorted Pack of 400 | 2 |
| #060 OneGloss PS Midi-Point RA Pack of 50 | 2 |
| natural extensions® Ultra 2 Blue Medium Nitrile Powder-Free Exam Gloves Box of 300 | 7 |
| Level 3 Surgical mask box fo 50 | 7 |
| 3 M Aura N95 mask case of 6 packs with 20 per pack | 1 |
| Lab Jacket Extra-Safe Sky Blue Medium Pack of 10 | 2 |
| ValuLine® Patient Bib 13 x 19" Blue 3-Ply Case of 500" | 2 |
| Gluma Desensitizer Single Dose .075ml Box of 40 | 1 |
| IRIS WTC VARNISH MINT BX500 | 1 |
| Monoject™ Disposable Curved Syringe 12ml Pack of 50 | 1 |
| OptiBond Solo Plus Unidose Refill Pack of 100 | 2 |
| FLOSS CLEAN TOOTHBRUSH CS72 | 1 |
| Cover-All™ Blue 4 x 6" Roll of 1200" | 3 |
| Ultra 3-in-1™ Procedural White Pleated Ear Loop Face Mask Box of 50 | 6 |
| Lysol® I.C. Foaming Disinfectant Cleaner Fresh Scent 24 oz Carton of 12 | 4 |
| Advantage Plus Non-Woven Sponges 4 Ply Non-Sterile 2"x2" Case of 5000 | 1 |
| CITRIZYME ENZYME CLNR 900GM | 1 |
| PERMITE-C CAP #1 REG SET BX50 | 1 |
| ASSURE PLUS™ Aqua Sterilization Pouch 3.5 x 10" Box of 200" | 2 |
| Septocaine with Epinephrine 1:200000 Box of 50 | 5 |
| 1 | 1 |

| | 1 |
|--|---|
| Jacket Extra-Safe Cranberry Large Pack of 10 | 1 |
| Bib-Eze Disposable Bib Holders Box of 250 | 3 |
| Dri-Angle Silver Large Box of 320 | 1 |
| SharpSafety™ In-Room™ Transparent Red Sharps Container with Counter Balanced Lid 5qt | 1 |
| Universal Digital Sensor Covers 1.5" x 7" Pack of 500 | 1 |
| Combo Tipped HVE Bag of 100 | 1 |
| PRO-SYS® Premium Mint Floss Box of 75 | 4 |
| Dry Socket Paste 1oz | 1 |
| Assorted Wizard Wedge Assorted Bag of 100 | 2 |
| Tofflemire Matrix Bands #1 .002 Pack of 36 | 1 |
| Blue Sterilization Wrap 18 x 18" Case of 500 | 1 |
| Chair Sleeves 32 x 32" Roll of 200" | 2 |
| CaviWipes Surface Disinfectant Wipes Canister of 160 | 5 |
| Ez-Prop Mouth Prop Disposable Box of 100 | 2 |
| Benco Dental™ Tray Covers Size B Silver Box of 1000 | 2 |
| AutoClean Autoclave Cleaner and Enhancer Quart Bottle | 2 |
| Graham® Lidocaine 1:100000 Red Box of 50 | 1 |
| Bencocaine Gel Mango 1oz Jar | 1 |
| USP Sterile Water 1000mL Screw Top Bottle | 2 |
| ValuLine® Cotton Rolls #2 Medium Box of 2000 | 2 |
| ValuLine® Cotton Tip Applicators 3 Box of 1000" | 2 |
| White Plastic Cups 5oz/148ml Case of 1,000 | 2 |
| Septoject Dental Hypodermic Needles 27 Gauge Long Yellow Box of 100 | 1 |
| Septoject Dental Hypodermic Needles 30 Gauge Short Blue Box of 100 | 1 |
| EQUIA® Forte Fil Kit Shade A2 | 1 |
| Clear Tube and Blue Tip Saliva Ejector Pack of 100 | 1 |
| SURG EVAC TIP 1/4 GRN PK25" | 1 |
| #15 Disposable Scalpel Stainless Steel Sterile Box of 10 | 1 |
| GELFOAM #4 DENTAL 6/PK2 | 1 |
| #3-0 Chromic Gut Suture 27 C-6 Needle 18.7mm Box of 12" | 1 |
| ETCH GEL 37% 1.2ML SYR BX12 | 2 |
| ART PAPER BLU THIN HOLG | 1 |
| IRM ZOE Encapsulated Intermediate Restorative Material Package | 1 |
| Master-Dent® Flow Composite Shade A2 with Tips Pack of 4 | 1 |
| e-on Universal Light Cured A2 0.25g Capsules Refill Pack of 15 | 8 |
| | |

| e-on Universal Light Cured A2 0.25g Capsules Refill Pack of 15 | 8 |
|--|---|
| ENDO-ICE GRN SPRAY 6OZ | 2 |
| SAFE-TIPS EZ BULK BX1600 | 1 |
| Nupro® Extra Care Stain Removal Spearmint Medium-Coarse Box of 175 | 1 |
| Temp it | 1 |
| Metapaste CaOH Temporary Filling Material | 2 |
| DiaDent® DIA-ISO GT™ Gutta Percha Points .04 Taper #15-40 Spill Proof Box of 60 (or comparable item) | 2 |
| HyFlex® CM™ NiTi Files Intro Kit (or comparable item) | 1 |
| Rubber Dam Clamp #1 | 1 |
| RUBBER DAM CLAMP #7 MOLAR | 1 |
| Ivory Clamps Stainless Steel Clamp No. 8A | 1 |
| #8AD Satin Steel Rubber Dam Clamp | 2 |
| Adult Rubber Dam Frame | 2 |
| Hygenic® Dental Dam Ostby Plastic Frame - Radiolucent | 2 |
| Hygenic Gloss Winged Clamps #2 | 3 |
| Surgilube Tube 4 1/2oz | 3 |
| Dental Dam Latex Powder-Free/Low Protein 6X6 Medium Box of 36 | 1 |
| Hygenic® Dental Dam Stamp 6 x 6"" | 2 |
| Wedjets Small Yellow Stabilizing Cord 7' | 1 |
| Wedjets Large Orange Stabilizing Cord 7' | 1 |
| Wedjets Extra Small Blue Stabilizing Cord 7' | 1 |
| Hygenic® Dental Dam Stamp 6 x 6"" | 2 |
| Wedjets Small Yellow Stabilizing Cord 7' | 1 |
| Wedjets Large Orange Stabilizing Cord 7' | 1 |
| Wedjets Extra Small Blue Stabilizing Cord 7' | 1 |
| Ivory Clamp Forceps | 2 |
| Rubber Dam Punch | 1 |
| Endo Mate Cordless Rotatry Handpiece (or comparable item) | 1 |
| Root ZX Apex Locator (or comparable item) | 1 |
| RC Prep | 1 |
| Endo Piezo Ultrasonic | 1 |
| Easy Cut Handpiece | 1 |
| Surgtorque Surgical Handpiece | 1 |
| TOTAL SUPPLIES | |

Additional Questions

| Installation Cost/Process if any: |
|--|
| Delivery Cost: |
| Technical Requirements (volts/amps for electrical. Specs of equipment): |
| Warranties and/or Guarantees (to be especially considered for portable equipment): |
| Maintenance Plans (any consistent parts that require maintenance to particular equipment): |
| Lead Time for Delivery of Equipment: |
| References (prefer Public Health Clinics utilizing equipment if possible): |
| Experience of Vendor with equipment: |

Appendix 1 – CERTIFICATION OF INDEPENDENT PRICE DETERMINATION

The vendor certifies that—

- (a) (1) The prices in this offer have been arrived at independently, without, for the purpose of restricting competition, any consultation, communication, or agreement with any other vendor, including but not limited to subsidiaries or other entities in which vendor has any ownership or other interests, or any competitor relating to (i) those prices, (ii) the intention to submit an offer, or (iii) the methods or factors used to calculate the prices offered;
- (2) The prices in this offer have not been and will not be knowingly disclosed by the vendor, directly or indirectly, to any other vendor, including but not limited to subsidiaries or other entities in which vendor has any ownership or other interests, or any competitor before bid opening (in the case of a sealed bid solicitation) or contract award (in the case of a negotiated or competitive solicitation) unless otherwise required by law; and
- (3) No attempt has been made or will be made by the vendor to induce any other concern or individual to submit or not to submit an offer for the purpose of restricting competition or influencing the competitive environment.
- (b) Each signature on the offer is considered to be a certification by the signatory that the signatory—
- (1) Is the person in the vendor's organization responsible for determining the prices being offered in this bid or proposal, and that the signatory has not participated and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above; or
- (2) (i) Has been authorized, in writing, to act as agent for the principals of the vendor in certifying that those principals have not participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above;
- (ii) As an authorized agent, does certify that the principals of the vendor have not participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) above; and (iii) As an agent, has not personally participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) above.
- (c) Vendor understands and agrees that -
- (1) violation of this certification will result in immediate disqualification from this solicitation without recourse and may result in disqualification from future solicitations; and
- (2) discovery of any violation after award to the vendor will result in the termination of the award for default.

| CERTIFIED BY: | |
|------------------------------|--------------------------------|
| RFQ No. | |
| Name of Vendor Organization: | Signature Authorized Official: |
| Date: | Title:Printed Name: |

Appendix 2 – CERTIFICATION REGARDING DEBARMENT, SUSPENSION, PROPOSED DEBARMENT, AND OTHER RESPONSIBILITY MATTERS

- (a)(1) The Offeror certifies, to the best of its knowledge and belief, that—
- (i) The Offeror and/or any of its Principals—
- (A) Are [] are not [] presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency;
- (B) Have [] have not [], within the three-year period preceding this offer, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, state, or local) contract or subcontract; violation of Federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, or receiving stolen property; [This language stayed indefinitely. Please use paragraph (a)(1)(i)(D) below.]
- (C) Are [] are not [] presently indicted for, or otherwise criminally or civilly charged by a governmental entity with, commission of any of the offenses enumerated in paragraph (a)(1)(i)(B) of this provision; and [This language stayed indefinitely. Please use paragraph (a)(1)(i)(E) below.]
- (D) Have [] have not [], within a three-year period preceding this offer, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, state, or local) contract or subcontract; violation of Federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, or receiving stolen property; and
- (E) Are [] are not [] presently indicted for, or otherwise criminally or civilly charged by a governmental entity with, commission of any of the offenses enumerated in subdivision (a)(1)(i)(D) of this provision. (ii)(A) [This paragraph (a)(1)(ii) is stayed indefinitely.] The offeror, aside from the offenses enumerated in paragraphs (a)(1)(i)(A), (B), and c) of this provision, has [] has not [] within the past three years, relative to tax, labor and employment, environmental, antitrust, or consumer protection laws—
- (1) Been convicted of a Federal or State felony (or has any Federal or State felony indictments currently pending against them); or
- (2) Had a Federal court judgment in a civil case brought by the United States rendered against them; or
- (3) Had an adverse decision by a Federal administrative law judge, board, or commission indicating a willful violation of law.

- (B) If the offeror has responded affirmatively, the offeror shall provide additional information if requested by the Contracting Officer; and (iii) The Offeror has [] has not [], within a three-year period preceding this offer, had one or more contracts terminated for default by any Federal agency.
- (2) "Principals," for the purposes of this certification, means officers; directors; owners; partners; and, persons having primary management or supervisory responsibilities within a business entity (e.g., general manager; plant manager; head of a subsidiary, division, or business segment, and similar positions). This Certification Concerns a Matter Within the Jurisdiction of an Agency of the United States and the Making of a False, Fictitious, or Fraudulent Certification May Render the Maker Subject to Prosecution Under Section 1001, Title 18, United States Code.
- (b) The Offeror shall provide immediate written notice to the Contracting Officer if, at any time prior to contract award, the Offeror learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- (c) A certification that any of the items in paragraph (a) of this provision exists will not necessarily result in withholding of an award under this solicitation. However, the certification will be considered in connection with a determination of the Offeror's responsibility. Failure of the Offeror to furnish a certification or provide such additional information as requested by the Contracting Officer may render the Offeror nonresponsible.
- (d) Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the certification required by paragraph (a) of this provision. The knowledge and information of an Offeror is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- (e) The certification in paragraph (a) of this provision is a material representation of fact upon which reliance was placed when making award. If it is later determined that the Offeror knowingly rendered an erroneous certification, in addition to other remedies available to the Government, the Contracting Officer may terminate the contract resulting from this solicitation for default.

| CERTIFIED BY: RFQ No | |
|------------------------------|--------------------------------|
| Name of Vendor Organization: | Signature Authorized Official: |
| Date: | Title:Printed Name: |

Appendix 3 - HEARTLAND ALLIANCE TERMS AND CONDITIONS

- **1. Acceptance & Applicability**: These terms and conditions, which are hyperlinked from or referenced in the Request for Proposal ("RFQ") or Request for Quote ("RFQ") or otherwise provided to the supplier ("Vendor") of goods ("Goods") and/or services ("Services") collectively constitutes a binding agreement ("Agreement") between the Vendor and the Heartland entity ("Heartland") identified in the RFQ/RFQ. This Agreement may be accepted only in accordance with all terms and conditions herein without modification, addition, deletion or alteration.
- **2. Assignment:** Vendor may not assign this Agreement or any benefits or rights arising therefrom without the prior written consent of Heartland, and, unless otherwise agreed in writing, the rights of any assignee shall be subject to all set-offs, counterclaim.
- **3. Proprietary Information & Confidentiality**: Vendor shall consider all data, documentation, drawings, specifications software and other information furnished by Heartland to be confidential and proprietary and shall not disclose any such information or use such information itself for any purpose other than that for which it was intended in completing the Contract, unless Vendor obtains written permission from Heartland to do so.
- **4. Terms of Payment:** Subject to any superseding terms on the face hereof, Vendor shall invoice the Heartland entity awarding the RFQ/RFQ. All invoices should be addressed to: Accounts Payable Division, 208 S. LaSalle St., Suite 1300, Chicago, IL 60604, and be paid upon completion/acceptance of the required Goods/Services. Vendor shall be paid not later than thirty (30) days after Heartland's receipt of an acceptable invoice or Heartland's receipt of the completed Goods/Services, together with any required documents. Drafts will not be honored.
- **5. Identification:** All shipping containers, shipped documents and invoices must be labeled with the purchase order number and "Shipped to" information without exception. Every shipment must be accompanied by a legible packing list or tally containing complete order information.
- **6. Delivery:** No delivery should take place outside the specified destination. If delivery cannot be made within the stated date and time, vendor must contact the originator that is receiving on the behalf of Heartland. Deliveries made to Heartland and its affiliates must have a signature.
- **7. Special Payment:** No C.O.D. shipments will be accepted. Vendor is responsible for the cost of shipment to Heartland.
- **8. Compliance with Law:** Vendor's performance of Services and all Goods to be delivered hereunder shall be in accordance with any and all applicable executive orders, Federal, State, municipal, and local laws and ordinances, and rules, orders, requirements and regulations.
- **9. Title and Risk of Loss:** Title to and risk of loss of, each Goods and/or Services to be delivered hereunder shall, unless otherwise provided herein, pass from Vendor to Heartland upon acceptance of by Heartland.

- 10. Inspection: (a) Vendor shall work within professional standards and limitations specified on work statements, drawings and specifications covering the work and shall make such inspections as are deemed necessary to ensure Vendor compliance, unless deviation there from is authorized in writing by Heartland. (b) All shipments shall be subject to final inspection by Heartland after receipt by Heartland at destination. If Goods supplied or Services performed by Vendor are found to be defective. Vendor shall be given the opportunity to correct any deficiencies within a reasonable period of time. If correction of such work is impracticable, Vendor shall bear all risk after notice of rejection and shall, if so requested by Heartland and at its own expense, promptly make all necessary replacements. Vendor shall provide immediate notice to Heartland of any potential failure on the part of its suppliers to provide supplies/services required hereunder. Vendor is responsible for any deficiency on the part of its suppliers. VENDOR SHALL BE RESPONSIBLE FOR ANY COSTS OF REPROCUREMENT AS MAY NECESSARY FOR HEARTLAND TO SECURE THE SUPPLIES/SERVICES AS A RESULT OF VENDOR'S INABILITY TO PERFORM THAT EXCEED THE AGREED UPON PRICE HEREIN. (d) Final inspection and acceptance by Heartland shall be conclusive except for latent defects, fraud, or for any rights provided by any product warranty.
- **11. Force Majeure:** The Vendor shall not be liable by reason of any failure in performance of this Agreement in accordance with its terms if such failure arises out of causes beyond the control and without the fault or negligence of Vendor. Such cases may include, but are not restricted to, acts of God, acts of government or municipal or other authorities, fires, floods, epidemics, quarantines, strikes, and labor disputes. Such causes do not include deficiencies on the part of its suppliers.
- **12. General Warranty:** Vendor warrants all Goods/Services to be free from all materials defects and expressly represents that all such required supplies/services are capable of performing the function service for which they were intended. Vendor agrees to pass on all manufacturers' warranties to Heartland.
- **13. Liens:** Vendor agrees to deliver the Goods/Services which are the subject-matter of this Agreement to Heartland free and clear of all liens, claims, and encumbrances.
- 14. Stop Work and Termination: (a) Heartland shall have the right to direct Vendor to stop work at any time. Such direction must be in writing and shall be effective for a period of not more than 30 days after which time Vendor may continue work absent direction to do so or a notice of termination. (b) This Agreement may be terminated upon default of either party in meeting its obligations hereunder. (c) This Agreement may be terminated for convenience, without fault of either party, by Heartland with advance written notice to Vendor. Vendor shall be paid for Goods supplied and accepted by Heartland, and Services completed and shall be reimbursed all actual costs for work in process incurred at time of termination notification inclusive of any associated administrative costs, restocking charges, vendor cancellation charges and settlement costs. Under no circumstances shall Vendor receive more than the original value of this Agreement. (d) This Agreement may be terminated for constructive default in the event that the Heartland has reasonable cause to believe that the Vendor will not be able to perform in accordance with the terms and conditions of the Agreement. Vendor shall be given a reasonable opportunity to respond to a notice of constructive default termination. In the event of failure of the Vendor to deliver/complete any part of this Agreement, then Heartland shall, at

its sole discretion, have the right to accept any delivered/completed part and unilaterally reduce the agreed upon price accordingly. (e) Heartland acceptance of partial deliveries shall not constitute a waiver of any of the Vendor's remaining obligations hereunder. (f) The preceding paragraph (e) shall not limit any legal rights of either party to cancel this Agreement by reason of any default, and Heartland further reserves the right to cancel this Agreement without further liability for articles not accepted by Heartland in the event Vendor commits an act of bankruptcy, files or has filed against the petition of bankruptcy or insolvency or suffers any receivership or other similar petition to be filed for or against it.

- **15. Independent Relationship:** Nothing in this Agreement shall be construed as creating anything other than an independent Contractor relationship between Heartland and the Vendor.
- **16. Work Product Presumptive Heartland Property**: All writings, books, articles, computer programs, databases, source and object codes, and other material of any nature whatsoever, including trademarks, trade names, and logos, that is subject to copyright protection and reduced to tangible form in whole or in part by Vendor in the course of Vendor's service to Heartland shall be considered a work made for hire, or otherwise Heartland property. During this Agreement and thereafter, Vendor agrees to take all actions and execute any documents that Heartland may consider necessary to obtain or maintain copyrights, whether during the application for copyright or during the conduct of an interference, infringement, litigation, or other matter (Heartland shall pay all related expenses). Vendor shall identify all materials in which Vendor intends to exempt from this provision prior to the use or development of such materials.
- 17. Rights in Data: The Vendor understands and agrees that Heartland may itself and permit others, including government agencies of the United States and other foreign governments, to reproduce through but not limited to the publication, broadcast, translation, creation of other versions, quotations there from, any provided publications and materials, and otherwise utilize this work and material based on this work. During the performance of this Agreement and thereafter, Vendor agrees to take all actions and execute any documents that Heartland may consider necessary to obtain or maintain copyrights, whether during the application for copyright or during the conduct of an interference, infringement, litigation, or other matter (all related expenses to be borne by Heartland). The Vendor shall identify all materials it intends to exempt from this provision prior to the use or development of such materials. The Vendor shall defend, indemnify, and hold harmless Heartland against all claims, suits, costs, damages, and expenses that Heartland may sustain by reason of any scandalous, libelous, or unlawful matter contained or alleged to be contained in the work, or any infringement or violation by the work of any copyright or property right; and until such claim or suit has been settled or withdrawn, Heartland may withhold any sums due the Vendor under this Agreement. Vendor agrees to specifically identify to Heartland any and all computer software licenses ("including shrink-wrap") that may be conveyed to Heartland. Vendor agrees that any and all computer software developed in the performance of this Agreement shall, unless otherwise agreed, become and remain the property of Heartland.
- **18. Indemnity:** Vendor shall defend, indemnify, and hold harmless Heartland, its directors, officers, and employees harmless, against all suits, costs, damages, and expenses that Heartland may sustain by reason of Vendor's negligent or unlawful actions resulting from

Vendor's performance under this Agreement, and Vendor shall hold Heartland, its directors, officers, and employees harmless from all liability and litigation expenses based upon alleged infringement of any patent except for the specific designs or specifications of Heartland.

- **19. Liquidated Damages:** If the Vendor fails to deliver the supplies or perform the services within the time specified in this Agreement, Heartland may require that Vendor pay, in place of actual damages, liquidated damages in the amount of one percent (1%) of the agreement value for each day of delay. If Heartland terminates this agreement in whole or in part for default, as provided under Section 14 above, Vendor is liable for liquidated damages accruing until such time that Heartland reasonably obtains delivery or performance from another vendor. These liquidated damages shall be in addition to any excess costs for re-purchase. Vendor will not be charged with liquidated damages when delay of delivery or performance is beyond the control and without the fault or negligence of the Vendor.
- **20. Warranty:** For a minimum of one (1) year after delivery to Heartland, Vendor warrants that the goods furnished hereunder shall conform to the requirements and specifications of this Agreement and shall be of good workmanship and quality, free of all defects and fit for the purpose for which they are intended. Disclaimers of express or implied warranties and limitations of liability from or in connection with Vendor's products ordered hereby will be of no effect unless assented to in writing by Heartland.
- **21. Assignment:** Vendor cannot assign or subcontract any part of this Agreement without the prior written approval of Heartland.
- **22. Non-Waiver:** Heartland's failure to insist upon strict performance of the terms and conditions hereof shall not be construed as a waiver of the right to rely thereon in the future.
- **23. Drug Trafficking**: Heartland reserves the right to terminate this Agreement and to demand a refund or take other appropriate measures if the Vendor is found to have been convicted of a narcotics offense or to have been engaged in drug trafficking as defined in 22 CFR Part 140.
- **24. Terrorism E.O. 13224**: Vendor agrees and certifies to take all necessary actions to comply with Executive Order No. 13224 on Terrorist Financing; blocking and prohibiting transactions with persons who commit, threaten to commit, or support terrorism. (E.O.13224 text available at: http://www.whitehouse.gov/news/releases/2001/09/20010924-1.html Note: Vendor is required to obtain the updated lists at the time of procurement of goods or services. The updated lists are available at: http://treasury.gov/offices/enforcement/ofac/sanctions/terrorism.htm and http://www.un.org/Docs/sc/committees/1267.
- **25. Computer Software Licenses**: Vendor agrees to specifically identify to Heartland any and all computer software licenses ("including shrinkwrap") as may be conveyed to Heartland. The Vendor agrees that any and all computer software developed in the performance of this Agreement shall, unless otherwise agreed, become and remain the property of Heartland.
- **26. Vendor Terms and Conditions**: The terms and conditions of this Agreement shall supersede any other terms and conditions except those expressly accepted by Heartland in writing.

- **27. Gratuities:** This Agreement may be terminated for cause in accordance with Section 14 above should it be determined by Heartland that Vendor offered or gave a gratuity (e.g. entertainment, gift, services or money) to any Heartland employee or other persons responsible for or connected to those responsible for the decision to award this Agreement or the acceptance of performance under this Agreement and that gratuity was intended to obtain this award or favorable treatment.
- 28. Independent Price Determination: (a) Vendor certifies that— (1) The prices in this Agreement have been arrived at independently, without, for the purpose of restricting competition, any consultation, communication, or agreement with any other offeror, including but not limited to subsidiaries or other entities in which Vendor has any ownership or other interests, or any competitor relating to (i) those prices, (ii) the intention to submit an offer, or (iii) the methods or factors used to calculate the prices offered; (2) The prices in this Agreement were not knowingly disclosed by the Vendor, directly or indirectly, to any other offeror, including but not limited to subsidiaries or other entities in which Vendor has any ownership or other interests, or any competitor before bid opening (in the case of a sealed bid solicitation) or contract award (in the case of a negotiated or competitive solicitation) unless otherwise required by law; and (3) No attempt was made by the Vendor to induce any other business or individual to submit or not to submit an offer for the purpose of restricting competition or influencing the competitive environment. Vendor understands and agrees that violation of this certification will result in the termination of this Agreement for default as well as exclusion from future solicitations.
- **29. Eligibility Rules for Goods and Services**: Vendors shall not procure any goods or services from firms or individuals whose name appears on the "Lists of Parties Excluded from Federal Procurement and Nonprocurement Programs."
- **30. Ocean Shipment of Goods:** Applicable for awards for \$100,000 or more and when goods purchased under this award are transported to cooperating countries on ocean vessels whether or not award funds are used for the transportation. Vendor must ensure transport on a US flag commercial vessels. When U.S. flag vessels are not available, or their use would result in a significant delay, the vendor must contact Heartland Program Director before proceeding.
- **31. Air Transportation:** In accordance with the standard provision entitled International Air Transportation, any international travel requires prior written approval from the Heartland Program Director.
- **32. Authorized Geographic Code**: Vendor shall comply with the Geographic Code specified by the U.S. Government prime contract with Heartland, if any.
- **33. Excusable Delays**: The Vendor shall be liable for default unless nonperformance is caused by an occurrence beyond the reasonable control of the Vendor and without its fault or negligence. The Vendor shall notify Heartland in writing as soon as it is reasonably possible after the commencement of any excusable delay.
- **34. Export Control:** Vendor shall comply in all respects with all applicable local, state, and federal laws and regulations, as well as all U.S. statutes, regulations, and administrative

requirements regarding relationships with non-U.S. governmental and quasi-governmental entities including but not limited to the export control regulations of the Department of State and the International Traffic in Arms Regulations ("ITAR"), the Department of Commerce and the Export Administration Act ("EAA"), the anti-boycott and embargo regulations and guidelines issued under the EAA, and the regulations of the U.S. Department of the Treasury, Office of Foreign Assets Control.

- **35. Foreign Corrupt Practices Act:** The anti-bribery provisions of the Foreign Corrupt Practices Act of 1977 ("FCPA"), 15 U.S.C. §§ 78dd1", et seq., makes it unlawful for U.S. companies, as well as their officers, directors, employees, and agents, to corruptly offer or make a corrupt payment of money or anything of value to a foreign official for the purpose of obtaining or retaining business. Vendor acknowledges and understands that he/she must comply fully with the anti-bribery provisions of the FCPA. Specifically, Vendor understands and agrees that it shall be unlawful for the Vendor to pay, offer, promise to pay (or authorize to pay or offer) money or anything of value to a foreign official in order to assist Heartland in obtaining or retaining business for or with, or directing business to, Heartland. A "foreign official" means any officer or employee of a foreign government, a public international organization, or any department or agency thereof, or any person acting in an official capacity.
- **36. Environment**: Vendor must operate in a manner that complies with national and local environmental laws, regulations and standards including, but not limited to, laws related to air emissions, waste management, recycling, water discharge, toxic substances and hazardous waste disposal. Vendor agrees to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401- 7671q) and the Federal Water Pollution Control Act as amended (33 U.S.C. 1251- 1387). Violations must be reported to the Federal awarding agency and the Regional Office of the Environmental Protection Agency (EPA).
- **37. Rights to Inventions:** If the Vendor has been contracted for the assignment or performance of experimental, developmental, or research work under a Federal funding agreement, the Vendor must comply with the requirements of 37 CFR Part 401, "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements," and any implementing regulations issued by the awarding agency.